Daniel J. Bernstein
University of Illinois at Chicago &
Technische Universiteit Eindhoven

Lattice-basis reduction

Define
$$L = (0, 24)\mathbf{Z} + (1, 17)\mathbf{Z}$$

= $\{(b, 24a + 17b) : a, b \in \mathbf{Z}\}.$

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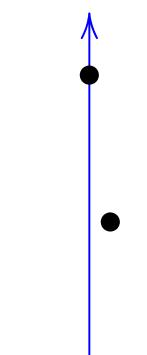
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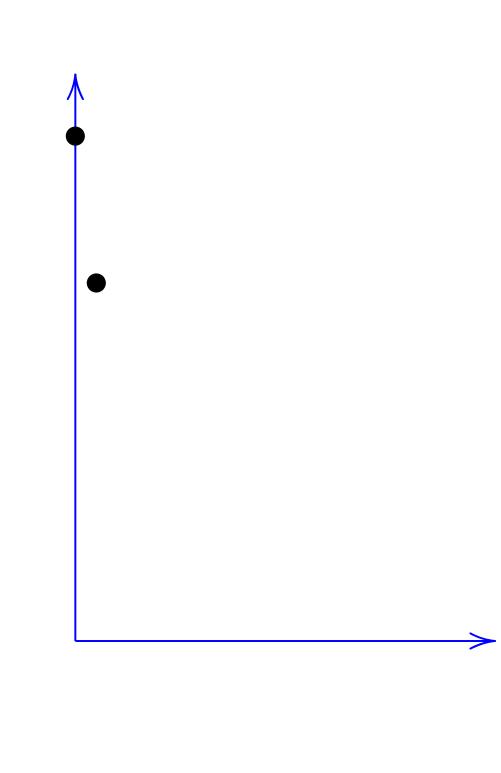
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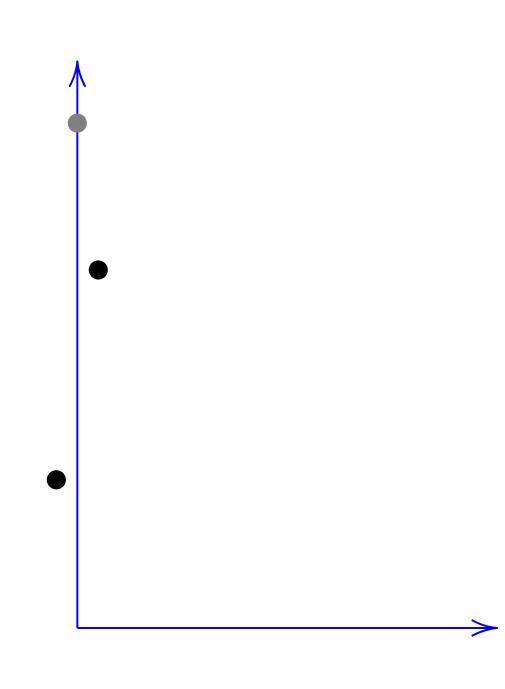
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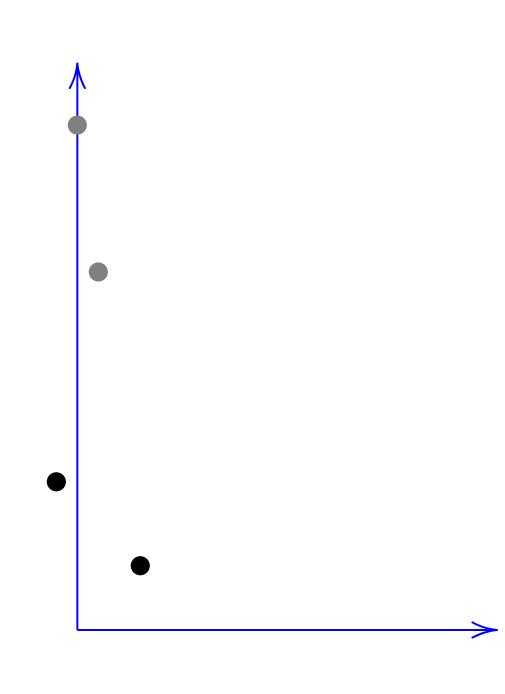
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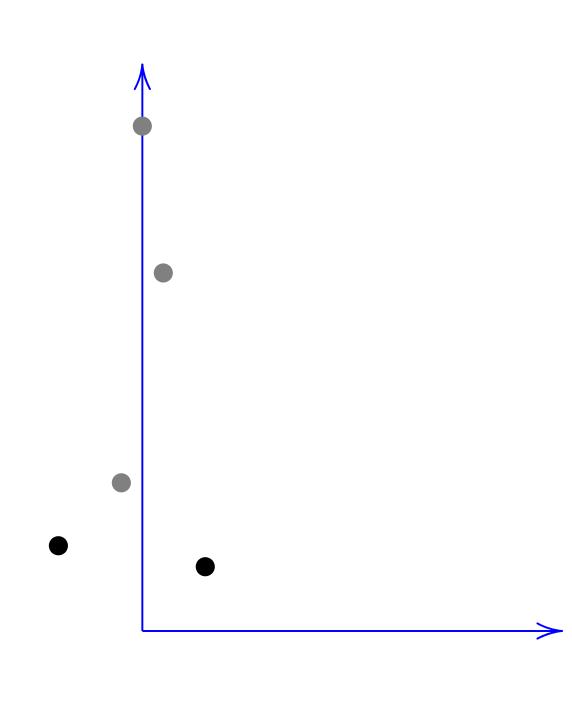
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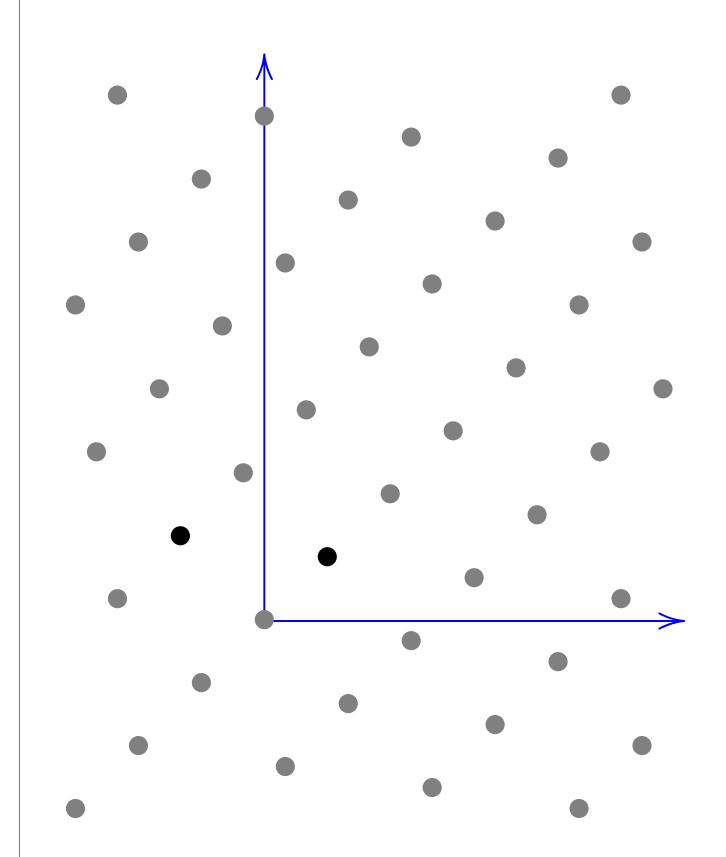
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pasis reduction

$$a = (0, 24)\mathbf{Z} + (1, 17)\mathbf{Z}$$

 $4a + 17b) : a, b \in \mathbf{Z}$.

the shortest vector in *L*?

$$(24)$$
Z + $(1, 17)$ **Z**

$$(1,7)\mathbf{Z} + (1,17)\mathbf{Z}$$

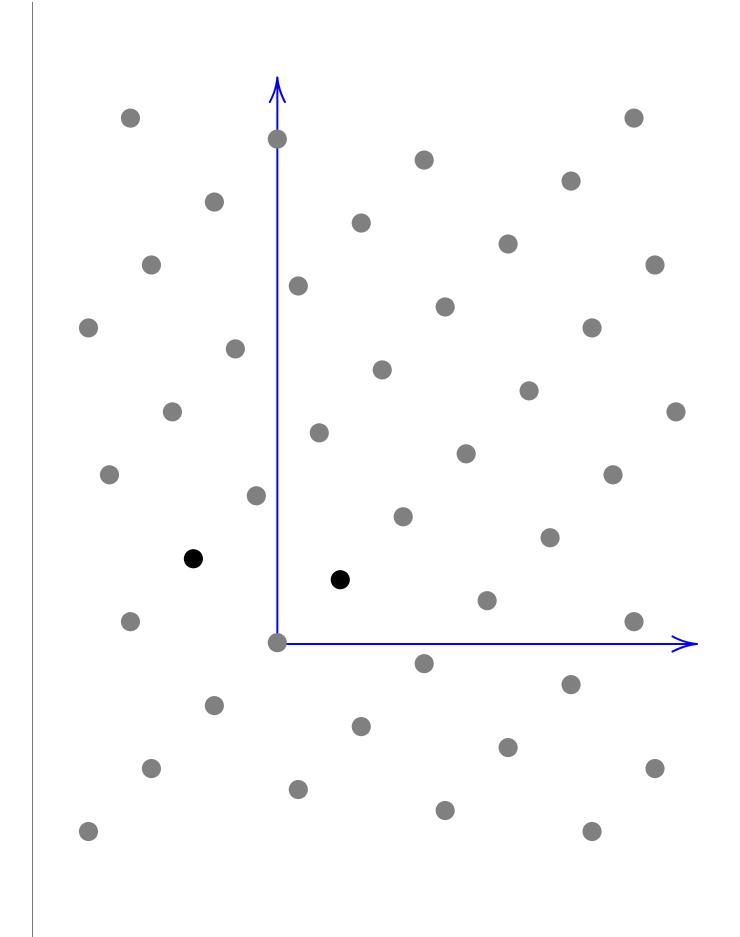
$$(3, 3)\mathbf{Z} + (3, 3)\mathbf{Z}$$

$$(4,4)\mathbf{Z} + (3,3)\mathbf{Z}$$

(3, 3) are orthogonal.

vectors in L are

$$(3,3), (-3,-3).$$



Another Define L

What is nonzero

ction

$$Z + (1, 17)Z$$

: $a, b \in \mathbf{Z}$ }.

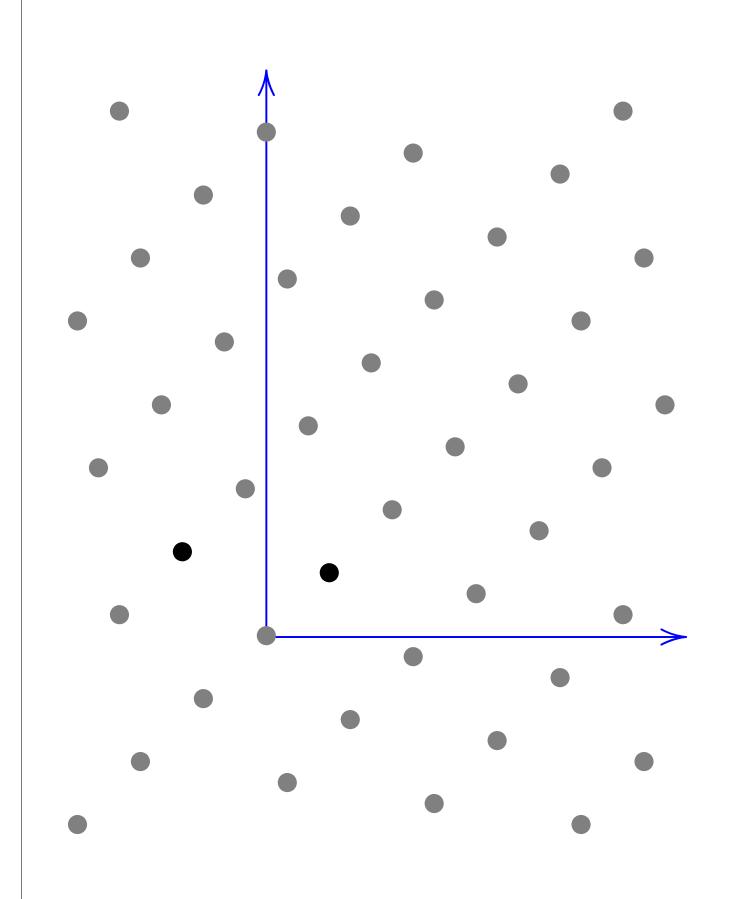
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L?

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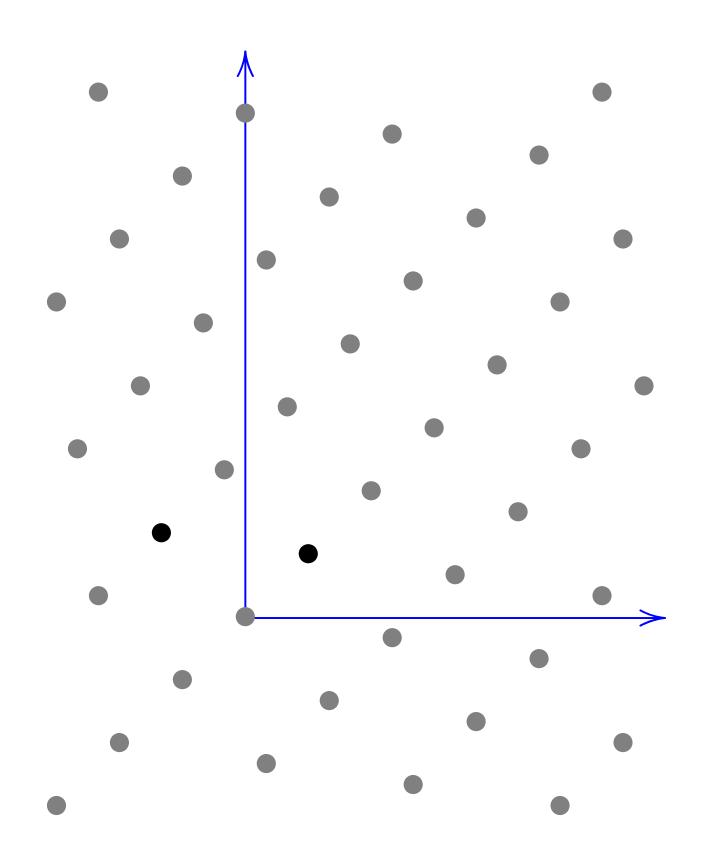
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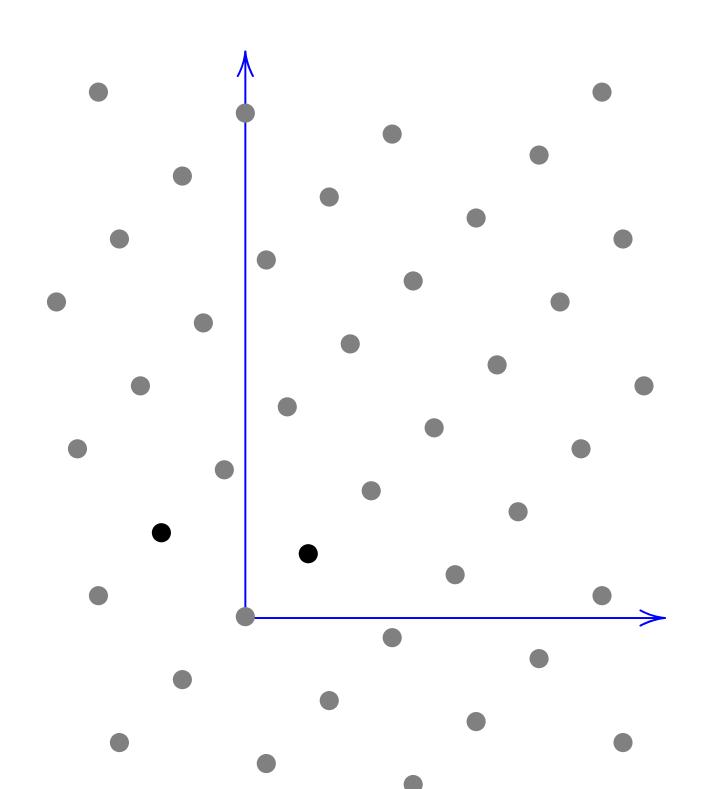
Another example:

Define L = (0, 25)

Another example: Define $L = (0, 25)\mathbf{Z} + (1, 17)\mathbf{Z}$

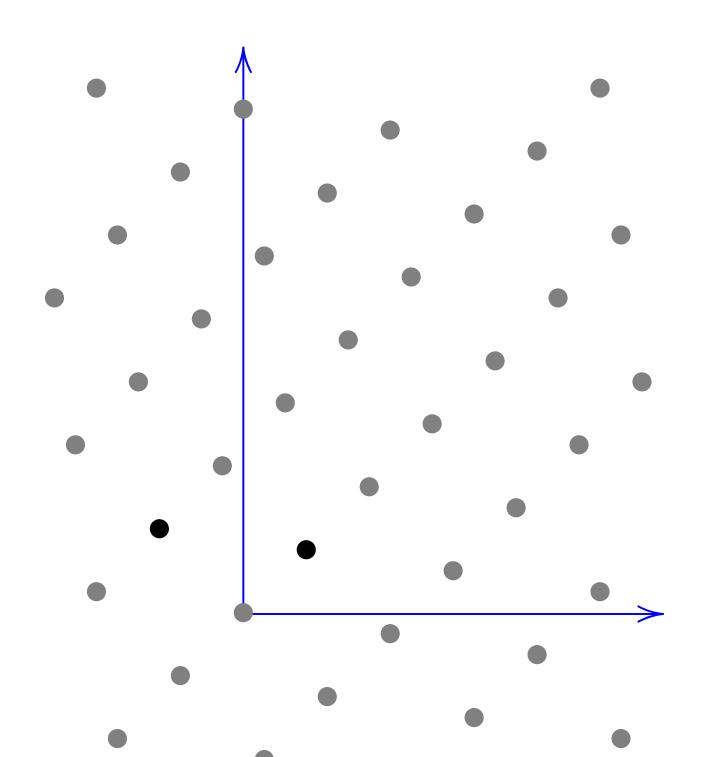


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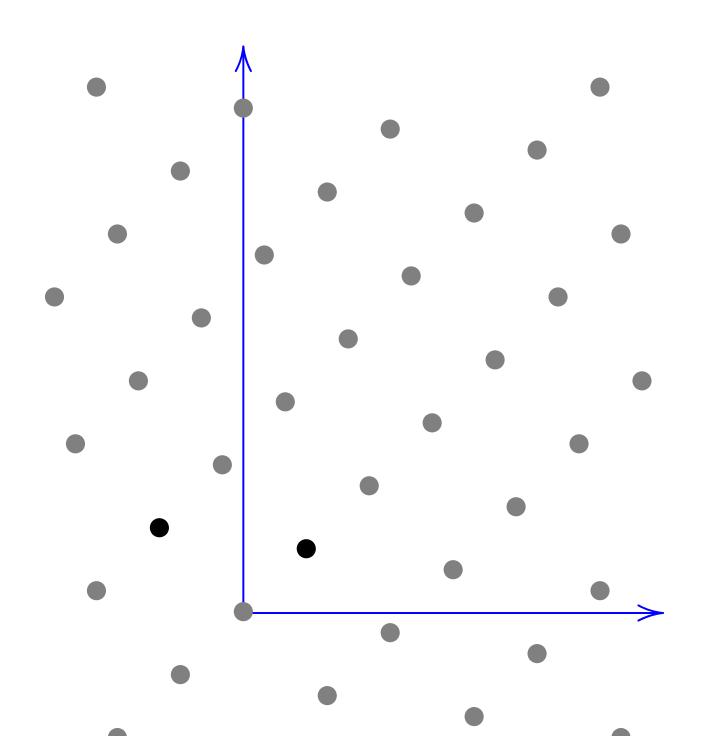
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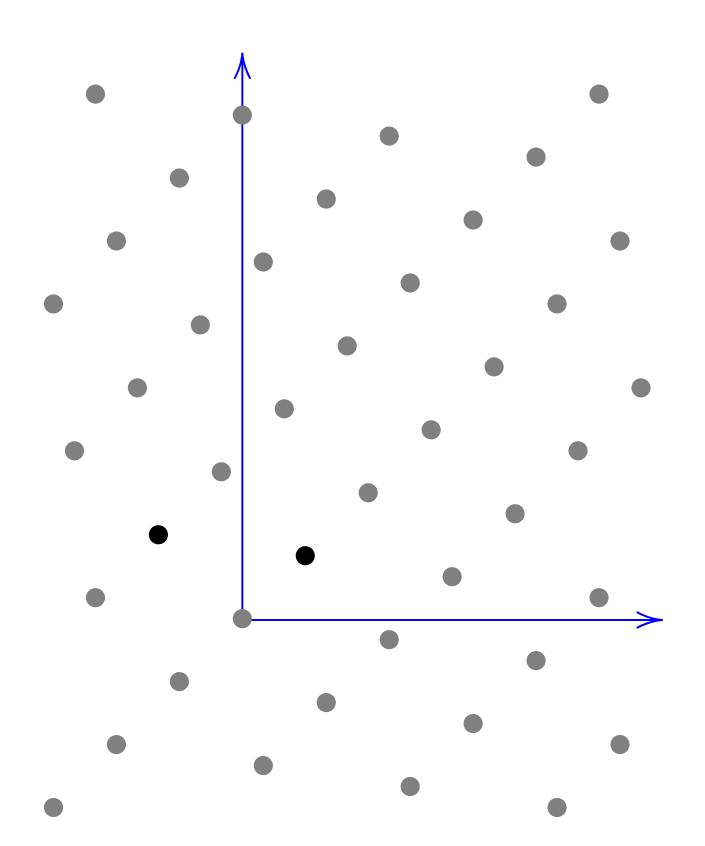
= $(-1, 8)\mathbf{Z} + (1, 17)\mathbf{Z}$



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Nearly orthogonal.

Shortest vectors in L are

$$(0,0), (3,1), (-3,-1).$$

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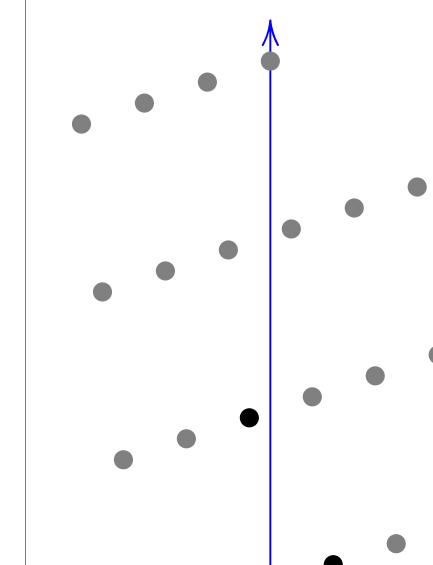
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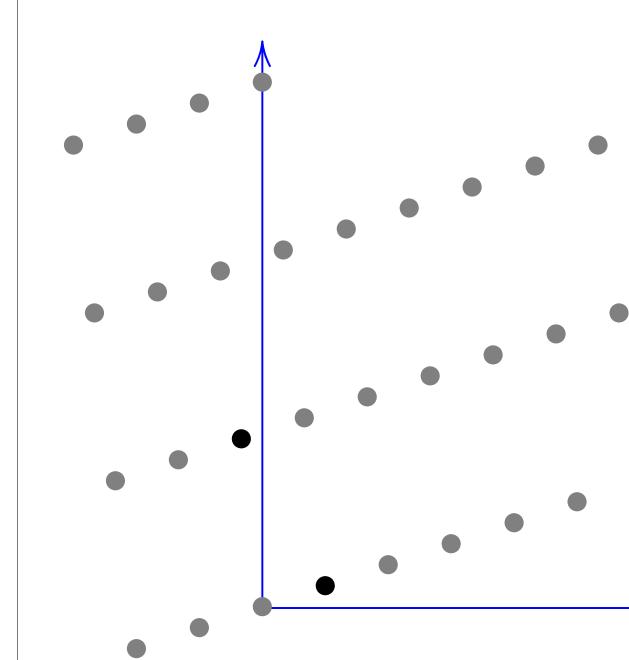
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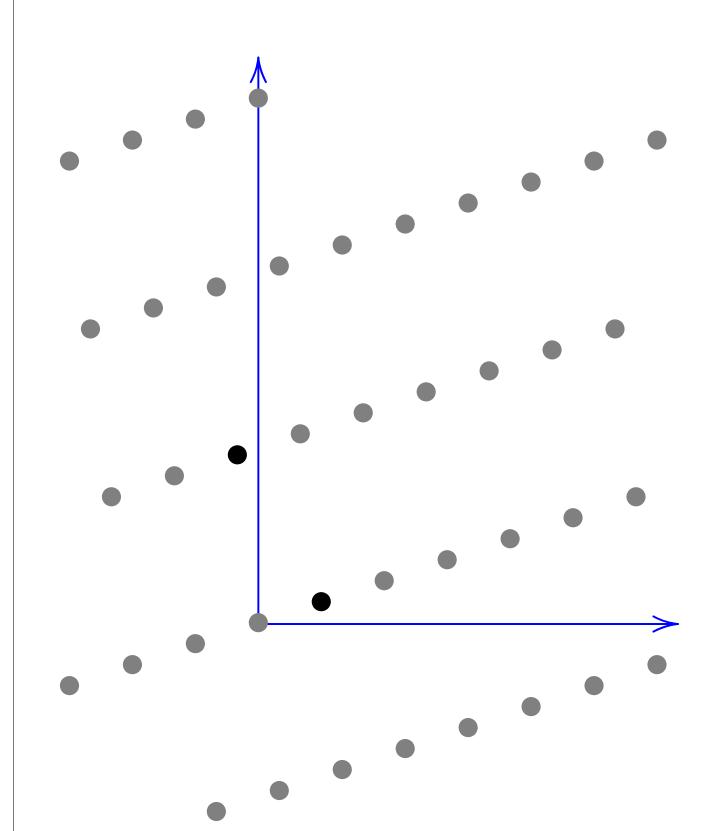
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example:

$$\mathbf{z} = (0, 25)\mathbf{Z} + (1, 17)\mathbf{Z}.$$

the shortest vector in *L*?

$$(25)$$
Z + $(1, 17)$ **Z**

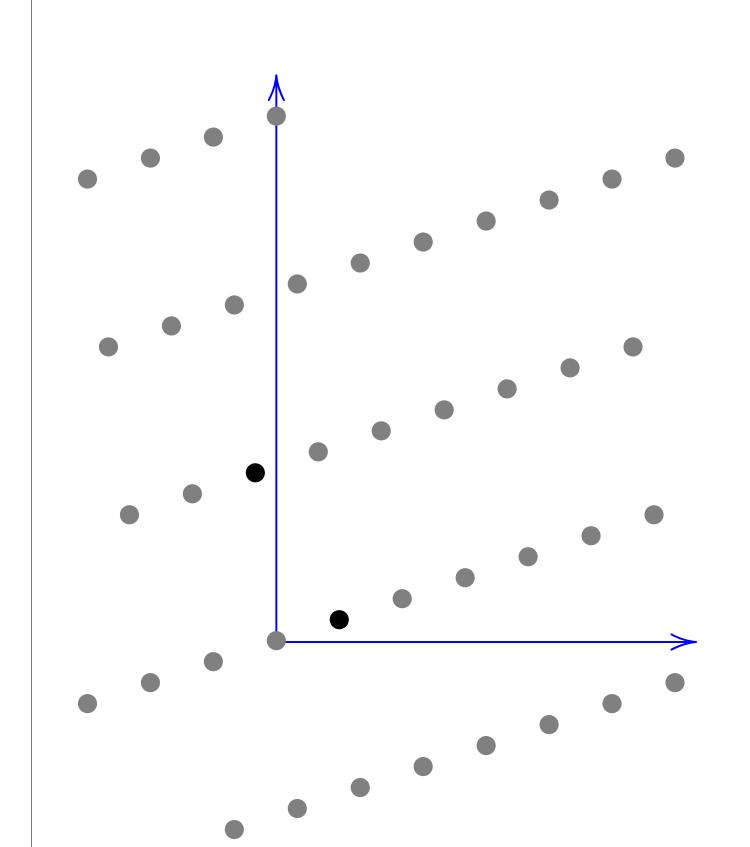
$$(1,8)$$
Z $+ (1,17)$ **Z**

$$(3,1)$$
Z.

orthogonal.

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Polynom

Define F

$$r_0 = (10)$$

$$r_1 = (10)$$

$$L=(0, 1)$$

What is nonzero

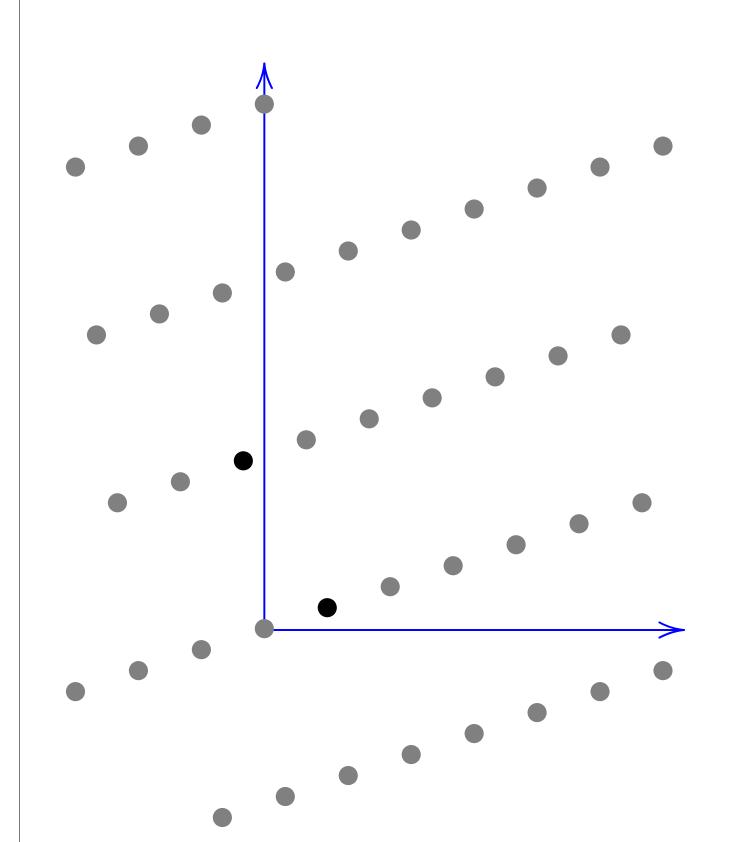
$$Z + (1, 17)Z$$
.

est

L?

$$\mathbf{S},1)\mathbf{Z}.$$

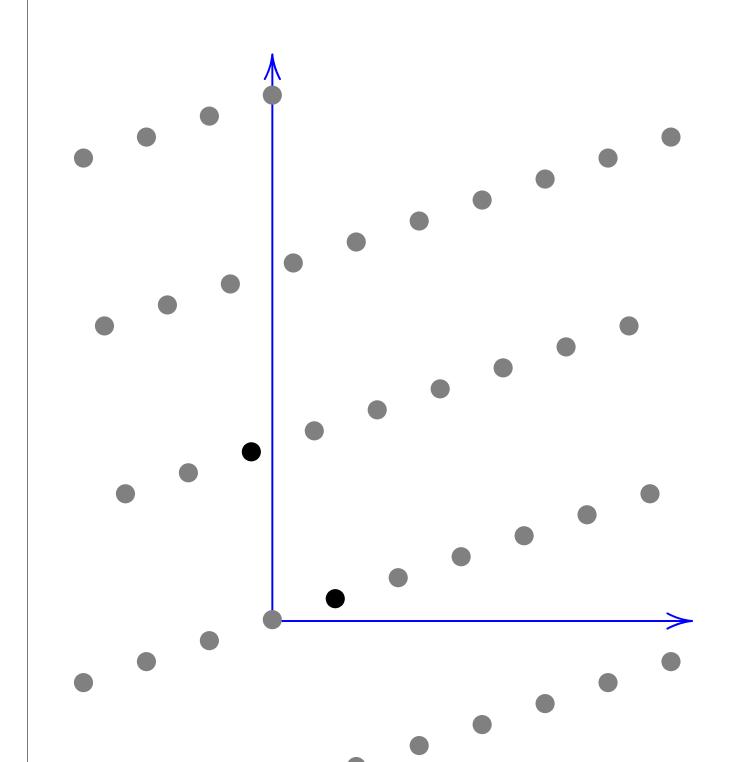
L are -1).



Polynomial lattices

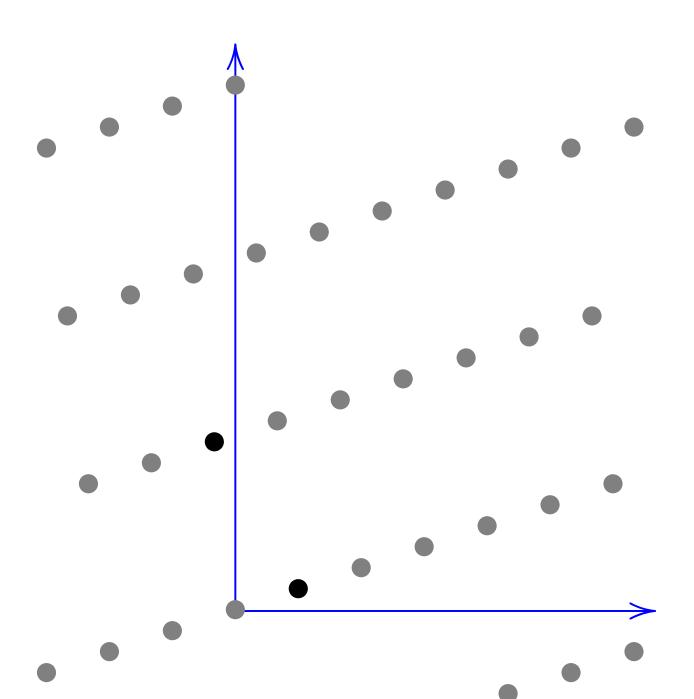
Define $P = \mathbf{F}_2[x]$, $r_0 = (101000)_X =$ $r_1 = (10011)_X = x$ $L = (0, r_0)P + (1, r_0)$

Z.

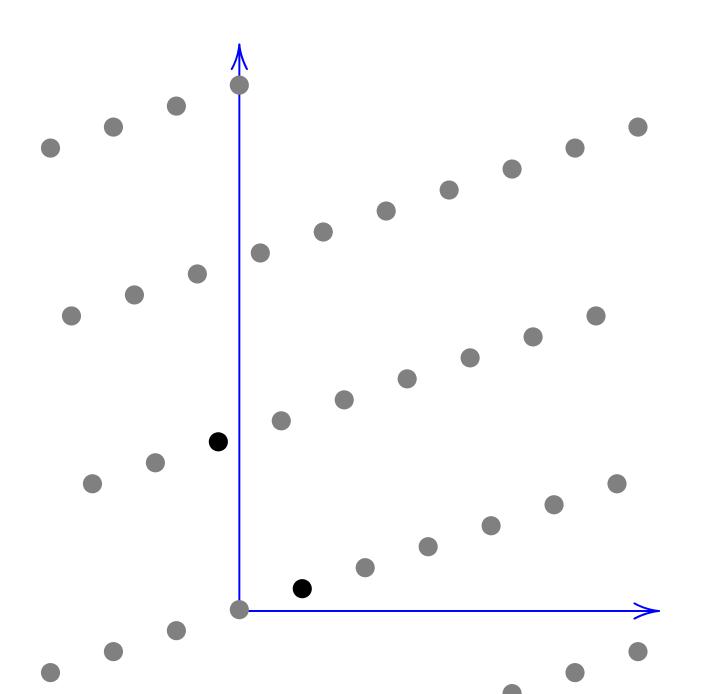


Polynomial lattices

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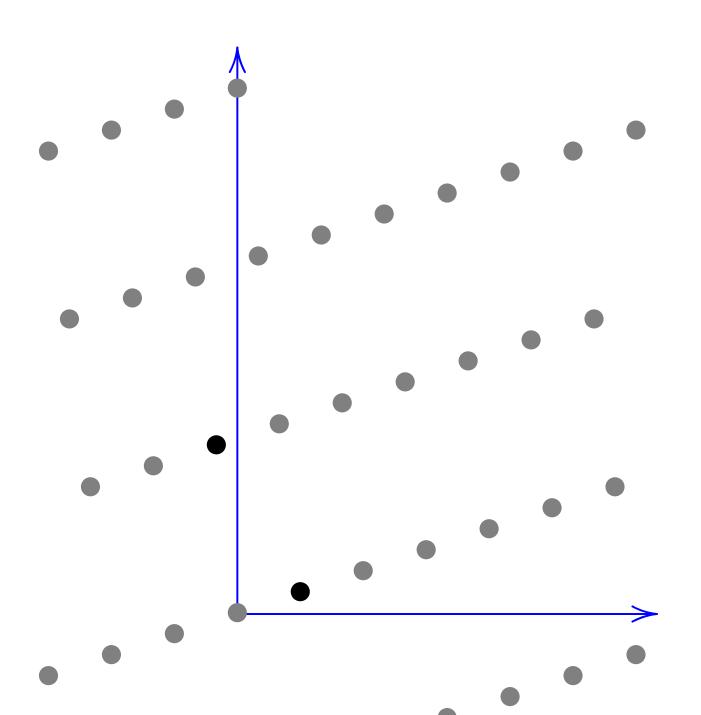


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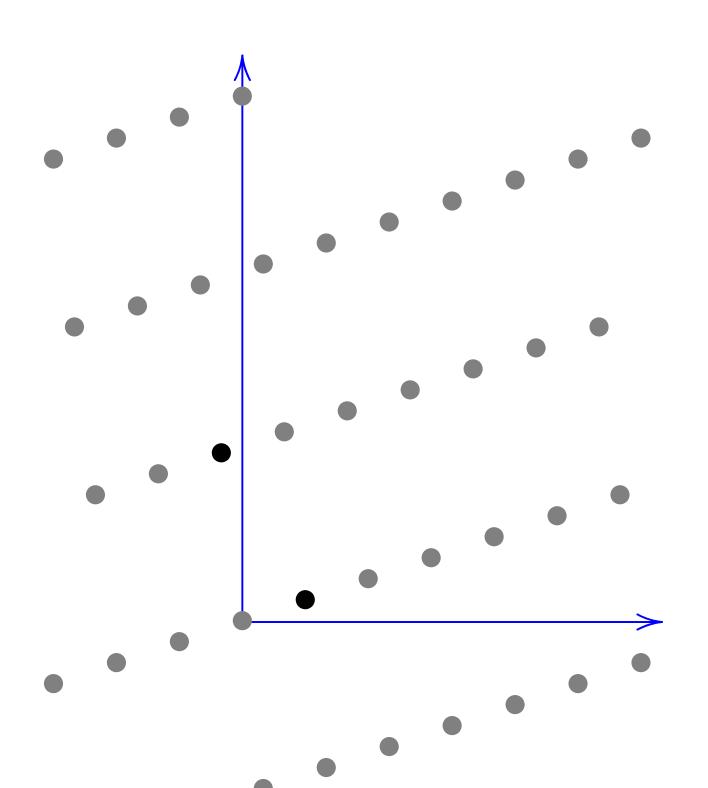
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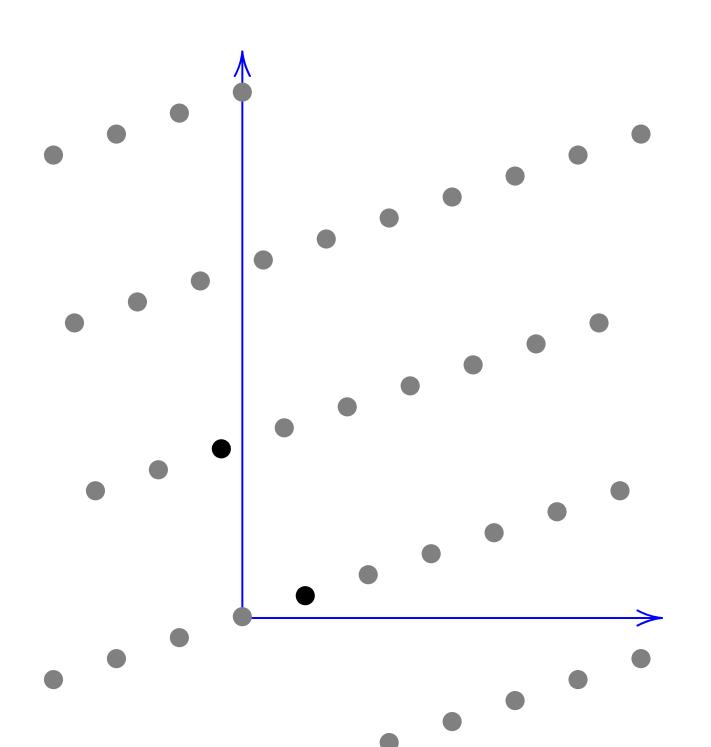
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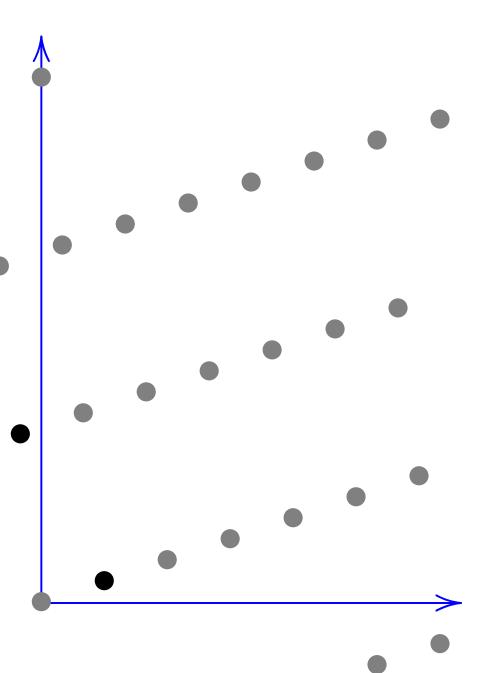
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(111, 1): shortest nonzero vector. (10, 1110): shortest independent vector.



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 as $(0, r_0\sqrt{x})P + (1, r_1\sqrt{x})P$.

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Successive generators for *L*: $(0, 101000\sqrt{x})$, degree 5.5. $(1, 10011\sqrt{x})$, degree 4.5. $(10, 1110\sqrt{x})$, degree 3.5. $(111, 1\sqrt{x})$, degree 2.

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$$(P) = \mathbf{F}_2[x],$$

 $(1000)_X = x^5 + x^3 \in P,$
 $(011)_X = x^4 + x + 1 \in P,$
 $(r_0)P + (1, r_1)P.$

the shortest vector in *L*?

$$(101000)P + (1,10011)P$$

 $(1110)P + (1,10011)P$
 $(1110)P + (111,1)P$

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<u>S</u>

$$x^{5} + x^{3} \in P$$
,
 $x^{4} + x + 1 \in P$,
 $(r_{1})P$.

est L?

$$+ (1, 10011)P$$
 $- (1, 10011)P$
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st

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Successive generators for *L*: $(0, 101000\sqrt{x})$, degree 5.5. $(1, 10011\sqrt{x})$, degree 4.5. $(10, 1110\sqrt{x})$, degree 3.5. $(111, 1\sqrt{x})$, degree 2.

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, mod r_2 , etc.

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$$(r)P + (1, r_1\sqrt{x})P =$$

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$$+1 \rfloor q_{i+1}$$
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$$-1$$
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$$(q, r\sqrt{x}) = u(q_j, r_j\sqrt{x}) + v(q_{j+\epsilon}, r_{j+\epsilon}\sqrt{x})$$

for some $u, v \in P$.

$$q_j r_{j+\epsilon} - q_{j+\epsilon} r_j = \pm r_0$$

so $v = \pm (rq_j - qr_j)/r_0$
and $u = \pm (qr_{j+\epsilon} - rq_{j+\epsilon})/r_0$.

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g lattice basis for *L* f gcd" computation, halfway to the gcd.

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$$(q, r\sqrt{x}) = u(q_j, r_j\sqrt{x}) + v(q_{j+\epsilon}, r_{j+\epsilon}\sqrt{x})$$

for some $u, v \in P$.

$$q_j r_{j+\epsilon} - q_{j+\epsilon} r_j = \pm r_0$$

so $v = \pm (rq_j - qr_j)/r_0$
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$$+\epsilon$$
, $r_{j+\epsilon}\sqrt{x}$)

 $\pm r_0$

$$r_j)/r_0$$

$$-rq_{j+\epsilon})/r_0$$
.

$$r_{j+\epsilon}\sqrt{x}$$

$$v=0$$
;

lattice

,
$$r_{j+\epsilon}\sqrt{x}$$

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binary Goppa codes

ger
$$n \geq 0$$
;

$$m \ge 1$$
 with $2^m \ge n$;

$$t \geq 0$$
;

$$a_1,\ldots,a_n\in \mathbf{F}_{2^m};$$

$$\in \mathbf{F}_{2^m}[x]$$
 of degree t

$$g_1)\cdots g(a_n)\neq 0.$$

at
$$x - a_i$$

ciprocal in
$$\mathbf{F}_{2^m}[x]/g$$
.

near subspace
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 with

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$$\sum_{i} c_{i}/(x)$$

so
$$s = E$$

oppa codes

$$h 2^m \ge n$$
;

$$\in$$
 \mathbf{F}_{2^m} ; of degree t $n \neq 0$.

$$\mathbf{F}_{2m}[x]/g$$
.

bace
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 $(F, E\sqrt{x})$ is a short vector: $\deg(F, E\sqrt{x}) \leq |e| \leq t/2$ $< t + 1/2 - \deg(q_j, r_j\sqrt{x}).$ Goal: Find $c \in \Gamma$ given v = c + e, assuming $|e| \le t/2$.

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Recall proof of "shortest": $(F, E\sqrt{x}) \in (q_j, r_j\sqrt{x})\mathbf{F}_{2^m}[x],$ so $E/F = r_j/q_j$. Done!

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rtest nonzero

Figure 1. The lattice L=0 $\mathbf{F}_{2^m}[x]+(1,s\sqrt{x})\mathbf{F}_{2^m}[x]$.

 $F, F \in \mathbf{F}_{2^m}[x]$ by

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 $\sum_{i} e_{i}/(x-a_{i}) = E/F$ and $\sum_{i} c_{i}/(x-a_{i}) = 0$ in $\mathbf{F}_{2}m[x]/g$ so s = E/F in $\mathbf{F}_{2}m[x]/g$ so $(F, E\sqrt{x}) \in L$.

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) from $\mathbf{F}_{2m}[x]/g$ n deg s < t.

zero

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 $a_i(x)$ by $a_i(x)$ and $a_i(x)$.

 $_{j}$ so inator of r_{j}/q_{j} .

0. if $F(a_i) = 0$.

This decoder "corrects $\lfloor t/2 \rfloor$ errors for Γ ".

Why does this work?

$$\sum_{i} e_{i}/(x-a_{i}) = E/F$$
 and $\sum_{i} c_{i}/(x-a_{i}) = 0$ in $\mathbf{F}_{2}m[x]/g$ so $s = E/F$ in $\mathbf{F}_{2}m[x]/g$ so $(F, E\sqrt{x}) \in L$.

 $(F, E\sqrt{x})$ is a short vector: $\deg(F, E\sqrt{x}) \leq |e| \leq t/2$ $< t + 1/2 - \deg(q_j, r_j\sqrt{x}).$

Recall proof of "shortest": $(F, E\sqrt{x}) \in (q_j, r_j\sqrt{x})\mathbf{F}_{2^m}[x],$ so $E/F = r_j/q_j$. Done!

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/2.

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Write
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decoder for g^2

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vered in this talk:

$$\log \approx t + t^2/n$$
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The Mcl

Standard $t \ge 2$; $n \le 1978$ Mo

n = 102This is t

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²):

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The McEliece cryp

Standardize integer $t \ge 2$; $m \ge 1$ with 1978 McEliece example n = 1024, m = 1000. This is too small: $\approx 2^{60}$ pre-quantum

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The McEliece cryptosystem

Standardize integers $n \ge 0$; $t \ge 2$; $m \ge 1$ with $2^m \ge n$.

1978 McEliece example:

$$n = 1024$$
, $m = 10$, $t = 50$.

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$$n = 6960$$
, $m = 13$, $t = 119$: $\approx 2^{263}$ pre-quantum security.

Assume

$$(x-a_i)=0$$
 in $\mathbf{F}_{2m}[x]/g$.

$$= \prod_{i:c_i\neq 0} (x-a_i).$$

$$/F = \sum_{i:c_i \neq 0} 1/(x - a_i)$$

$$=\sum c_i/(x-a_i)$$

$$= 0 \text{ in } \mathbf{F}_{2m}[x]/g$$

ides F' in $\mathbf{F}_{2^m}[x]$.

quare:

$$\sum_{j} F_{j} x^{j}$$
 then $\sum_{j} F_{j} x^{j-1}$

$$j^{j^{\prime}}j^{x^{\prime}}$$

 $j\in 1+2$ **Z** $j^{\prime}F_{j}x^{j-1}$

$$\sum_{j \in 1+2\mathbf{Z}}^{j} \sqrt{jF_j} x^{(j-1)/2})^2.$$

The McEliece cryptosystem

Standardize integers $n \ge 0$; t > 2; m > 1 with $2^m > n$.

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Alice's s $g \in \mathbf{F}_{2^m}$ distinct

0 in $\mathbf{F}_{2m}[x]/g$.

$$_{0}(x-a_{i}).$$

$$c_{i}\neq 0$$
 $1/(x-a_{i})$

$$x-a_i$$

$$F_{2^m}[x].$$

en

$$x^{j-1}$$
 $(jF_ix^{(j-1)/2})^2$.

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Alice's secrets: more $g \in \mathbf{F}_{2^m}[x]$ with distinct a_1, \ldots, a_n

(g)

 $-a_i$

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Or use "Chien search": compute $F_i \gamma^i$, $F_i \gamma^{2i}$, $F_i \gamma^{3i}$, etc. Cost per point: again 41 adds, 41 mults.

Our cost: 6.01 adds, 2.09 mults.

Asymptotics: normally $t \in \Theta(n/\lg n)$, so Horner's rule costs $\Theta(nt) = \Theta(n^2/\lg n)$.

The additive FFT

Fix $n = 4096 = 2^{12}$, t = 41.

Big final decoding step is to find all roots in $\mathbf{F}_{2^{12}}$ of $F = F_{41}x^{41} + \cdots + F_0x^0$.

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itive FFT

$$4096 = 2^{12}$$
, $t = 41$.

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d all roots in \mathbf{F}_{212}

$$F_{41}x^{41} + \cdots + F_0x^0$$
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 $F(\alpha)$ by Horner's rule:

41 mults.

'Chien search": compute γ^{2i} , $F_i \gamma^{3i}$, etc. Cost per gain 41 adds, 41 mults.

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Want to $F = F_0$

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Standard radix-2 F

Want to evaluate $F = F_0 + F_1x + \cdots$ at all the *n*th root

Write
$$F$$
 as $F_0(x^2)$
Observe big overlap
 $F(\alpha) = F_0(\alpha^2) + F(-\alpha) = F_0(\alpha^2) + F(-\alpha)$

 F_0 has n/2 coeffs; evaluate at (n/2)r by same idea recursives. Similarly F_1 .

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$$F_0(x^2) + xF_1(x^2)$$
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$$F_0(\alpha^2) + \alpha F_1(\alpha^2)$$
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Gao and Mateer examples $F = F_0 + F_1x + \cdots$ on a size-n \mathbf{F}_2 -line

Main idea: Write $F_0(x^2 + x) + xF_1(x^2 + x)$

Big overlap between $F_0(\alpha^2 + \alpha) + \alpha F_1$ and $F(\alpha + 1) = F_0(\alpha^2 + \alpha) + (\alpha - 1)$

"Twist" to ensure Then $\{\alpha^2 + \alpha\}$ is size-(n/2) \mathbf{F}_2 -linear Apply same idea re

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$$F_0(x^2+x)+xF_1(x^2+x).$$

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and $F(\alpha + 1) =$

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Apply same idea recursively.

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Then $\{\alpha^2 + \alpha\}$ is a size-(n/2) **F**₂-linear space. Apply same idea recursively.

We generalize to $F = F_0 + F_1x + \cdots$ for any t < n.

⇒ several optimiz not all of which ar by simply tracking

For t = 0: copy F

For $t \in \{1, 2\}$: F_1 is a constant. Instead of multiply this constant by each

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Gao and Mateer evaluate $F = F_0 + F_1x + \cdots + F_{n-1}x^{n-1}$ on a size-n \mathbf{F}_2 -linear space.

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ea: Write *F* as

$$x)+xF_1(x^2+x).$$

Tap between $F(\alpha) =$

$$(\alpha) + \alpha F_1(\alpha^2 + \alpha)$$

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$$F(\alpha) + (\alpha + 1)F_1(\alpha^2 + \alpha).$$

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Syndrome comput

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$$s_0=r_1+r_2+\cdots$$

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$$s_0 = r_1 + r_2 + \cdots + r_n$$

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$$s_2 = r_1\alpha_1^2 + r_2\alpha_2^2 + \cdots + r_n$$

$$s_t = r_1 \alpha_1^t + r_2 \alpha_2^t + \cdots + r_n \epsilon_n$$

 r_1, r_2, \ldots, r_n are received bit scaled by Goppa constants.

Typically precompute matrix mapping bits to syndrome.

Not as slow as Chien search still $n^{2+o(1)}$ and huge secret

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Compare to multip

$$F(\alpha_1) = F_0 + F_1 c$$

$$F(\alpha_2) = F_0 + F_1 c$$

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$$F(\alpha_n) = F_0 + F_1 \alpha$$

Initial decoding step: compute

$$s_0=r_1+r_2+\cdots+r_n,$$

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$$F(\alpha_1) = F_0 + F_1\alpha_1 + \cdots +$$

$$F(\alpha_2) = F_0 + F_1\alpha_2 + \cdots +$$

.

$$F(\alpha_n) = F_0 + F_1 \alpha_n + \cdots +$$

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Initial decoding step: compute

$$s_0 = r_1 + r_2 + \cdots + r_n,$$

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Compare to multipoint evaluation:

$$F(\alpha_1) = F_0 + F_1 \alpha_1 + \dots + F_t \alpha_1^t,$$

 $F(\alpha_2) = F_0 + F_1 \alpha_2 + \dots + F_t \alpha_2^t,$
 $\vdots,$
 $F(\alpha_n) = F_0 + F_1 \alpha_n + \dots + F_t \alpha_n^t.$

Initial decoding step: compute

$$s_0=r_1+r_2+\cdots+r_n,$$

$$s_1 = r_1\alpha_1 + r_2\alpha_2 + \cdots + r_n\alpha_n$$

$$s_2 = r_1\alpha_1^2 + r_2\alpha_2^2 + \cdots + r_n\alpha_n^2$$

. ,

$$s_t = r_1\alpha_1^t + r_2\alpha_2^t + \cdots + r_n\alpha_n^t.$$

 r_1, r_2, \ldots, r_n are received bits scaled by Goppa constants.

Typically precompute matrix mapping bits to syndrome.

Not as slow as Chien search but still $n^{2+o(1)}$ and huge secret key.

Compare to multipoint evaluation:

$$F(\alpha_1) = F_0 + F_1\alpha_1 + \cdots + F_t\alpha_1^t,$$

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Eliminate precomputed matrix.

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Transposition principle:

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