Advances in code-based public-key cryptography

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#### Advertisements

### 1. pqcrypto.org:

Post-quantum cryptography—hash-based, lattice-based, code-based, multivariate quadratic—introduction and bibliography.

- 2. pq.crypto.tw/pqc11/:
  PQCrypto 2011, Taipei,
  just before Asiacrypt.
  Deadline 24 June 2011.
- 3. 2011.indocrypt.org: Indocrypt 2011, Chennai, just after Asiacrypt.

  Deadline 31 July 2011.

# The McEliece cryptosystem

(1978 McEliece)

McEliece public key:

linear map  $G: \mathbf{F}_2^{524} \hookrightarrow \mathbf{F}_2^{1024}$  represented as  $1024 \times 524$  matrix.

McEliece plaintext:

$$m \in \mathbf{F}_2^{524}$$
; and  $e \in \mathbf{F}_2^{1024}$  of weight 50.

McEliece ciphertext:

$$y=Gm+e\in \mathbf{F}_2^{1024}.$$

Basic problem for attacker: Given G, y, find codeword Gmclose to y in the code  $G\mathbf{F}_2^{524}$ . Instead use parity-check matrix (1986 Niederreiter).

Niederreiter public key:

linear map 
$$H: \mathbf{F}_2^{1024} \to \mathbf{F}_2^{500}$$
 represented as  $500 \times 1024$  matrix.

Niederreiter plaintext:

$$m \in \mathbf{F}_2^{1024}$$
 of weight 50.

Niederreiter ciphertext:

$$s=Hm\in \mathbf{F}_2^{500}$$
.

Basic problem for attacker:

Given H, s, find low-weight

$$m \in \mathbf{F}_2^{1024}$$
 with  $Hm = s$ .

Equivalent to previous problem.

# Information-set decoding

Choose random size-500 subset  $S \subseteq \{1, 2, 3, ..., 1024\}$ .

For almost all *H*:

Good chance

that  $\mathbf{F}_2^S \hookrightarrow \mathbf{F}_2^{1024} \stackrel{H}{\longrightarrow} \mathbf{F}_2^{500}$  is invertible.

Hope  $m \in \mathbf{F}_2^S$ ; chance  $\approx 2^{-53}$ . Apply inverse map to Hm, revealing m if  $m \in \mathbf{F}_2^S$ .

If  $m \notin \mathbf{F}_2^S$ , try again. Total cost  $\approx 2^{80}$ .

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Long history, many improvements:
1962 Prange;
1981 Clark (crediting Omura);
1988 Lee-Brickell; 1988 Leon;
1989 Krouk; 1989 Stern;
1989 Dumer;
1990 Coffey-Goodman;
1990 van Tilburg; 1991 Dumer;
1991 Coffey-Goodman-Farrell;
1993 Chabanne-Courteau;
1993 Chabaud;
1994 van Tilburg;
1994 Canteaut-Chabanne;
1998 Canteaut-Chabaud;
1998 Canteaut-Sendrier.
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1998 Canteaut–Chabaud– Sendrier: 2<sup>68</sup> Alpha cycles to attack a McEliece ciphertext.

2008 Bernstein-Lange-Peters: further improvements;  $2^{58}$  Core 2 Quad cycles to attack a McEliece ciphertext. Ran attack successfully!

Subsequent literature:
2009 Finiasz–Sendrier;
2010 Peters;
2011 Bernstein–Lange–Peters.

# Higher security levels

Easily improve security by scaling parameters up from McEliece's 1024, 524, 50 example.

Niederreiter public key:

linear map 
$$H: \mathbf{F}_2^n \to \mathbf{F}_2^{n-k}$$
 represented as  $(n-k) \times n$  matrix.

Niederreiter plaintext:

$$m \in \mathbf{F}_2^n$$
 of weight  $w$ .

Niederreiter ciphertext:

$$s = Hm \in \mathbf{F}_2^{n-k}$$
.

How large do n, k, w have to be for  $2^b$  security?

Basic information-set decoding:

Hope  $m \in \mathbf{F}_2^S$ . Chance  $\binom{n-k}{w}/\binom{n}{w}$ .

Trying S costs  $\approx n^3$ . Total cost  $\approx n^3 \binom{n}{m} / \binom{n-k}{m}$ . Basic information-set decoding:

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Standard entropy approximation:

If 
$$w/n \to W$$
 as  $n \to \infty$  then  $\binom{n}{w}^{1/n} \to \frac{1}{W^W(1-W)^{1-W}}$ .

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If furthermore  $k/n \to R$  then  $\binom{n-k}{w}^{1/n} \to \frac{(1-R)^{1-R}}{W^W(1-R-W)^{1-R-W}}$ .

So 
$$cost^{1/n} 
ightharpoonup rac{(1-R-W)^{1-R-W}}{(1-R)^{1-R}(1-W)^{1-W}}$$
.

1988 Lee-Brickell idea:

Hope  $m-e\in \mathbf{F}_2^S$  for some weight-2 vector  $e\in \mathbf{F}_2^{n-S}$ . Chance  $\binom{n-k}{w-2}\binom{k}{2}/\binom{n}{w}$ .

Trying S costs  $\approx n^3$ ; reuse one matrix inversion for all choices of e. Speedup  $\approx k^2w^2/2(n-k-w)^2$ . 1988 Lee-Brickell idea:

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Not visible in  $\cos t^{1/n}$  limit:  $\cot^{1/n} \to \frac{(1-R-W)^{1-R-W}}{(1-R)^{1-R}(1-W)^{1-W}}$ . But still quite useful.

Many polynomial speedups in subsequent papers.

e.g. 1988 Leon:

Choose random S as before; invert  $\mathbf{F}_2^S \hookrightarrow \mathbf{F}_2^n \stackrel{H}{\longrightarrow} \mathbf{F}_2^{n-k}$ ; choose size- $\ell$  subset  $Z \subseteq S$ . Hope  $m-e \in \mathbf{F}_2^{S-Z}$  for some weight-2 vector e.

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Advantage over Lee–Brickell: quickly reject e if  $\varphi(m-e) \neq 0$ ;  $\varphi: \mathbf{F}_2^n \to \mathbf{F}_2^Z$  is composition of  $\mathbf{F}_2^n \to \mathbf{F}_2^{n-k} \to \mathbf{F}_2^S \to \mathbf{F}_2^Z$ .

Some loss of success chance from disallowing  $\mathbf{F}_2^Z$  in m-e.

Collision decoding (1989 Stern, independently 1989–1991 Dumer):

Again choose S, Z. Partition n-S into X, Y. Hope  $m-e-e'\in \mathbf{F}_2^{S-Z}$ for weight-p vectors e, e'with  $e\in \mathbf{F}_2^X$ ,  $e'\in \mathbf{F}_2^Y$ . Collision decoding (1989 Stern, independently 1989–1991 Dumer):

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Don't enumerate (e, e'). Make list of  $\varphi(m - e)$ ; make list of  $\varphi(e')$ ; find collisions between lists. Collision decoding (1989 Stern, independently 1989–1991 Dumer):

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Optimal p is unbounded. Exponential speedup for any (R, W), visible in  $cost^{1/n}$  limit! Ball-collision decoding (Bernstein-Lange-Peters, to appear at Crypto 2011):

Partition Z into A, B. Hope  $m-e-e'-f-f' \in \mathbf{F}_2^{S-Z}$  with  $e \in \mathbf{F}_2^X$  of weight p,  $e' \in \mathbf{F}_2^Y$  of weight p,  $f \in \mathbf{F}_2^A$  of weight  $\leq q$ ,  $f' \in \mathbf{F}_2^B$  of weight  $\leq q$ .

Expand  $\varphi(m-e)$  into ball of radius q; similarly  $\varphi(e')$ ; find collisions between balls.

Exponential speedup over Stern for any reasonable (R, W).

# **Decryption**

How does legitimate receiver decrypt s (or y)?

Answer: Secretly generate a fast decoding algorithm D for a code C(D). Take random H (or G) with  $C(D) = \operatorname{Ker} H$  (or  $C(D) = G\mathbf{F}_2^k$ ). Or systematic H: smaller, faster.

Fastest algorithms known to exploit McEliece's choice of D (by, e.g., computing D) are many orders of magnitude slower than collision decoding.

Fix a prime power q; a positive integer m; a positive integer  $n \leq q^m$ ; distinct  $a_1, \ldots, a_n \in \mathbf{F}_{q^m}$ ; polynomial  $g \in \mathbf{F}_{q^m}[x]$  with  $\deg g < n/m$  and  $g(a_1) \cdots g(a_n) \neq 0$ .

The classical Goppa code  $\Gamma_q(a_1,\ldots,a_n,g)$  is the set of  $c\in \mathbf{F}_q^n$  with  $\sum_i c_i/(x-a_i)=0$  in  $\mathbf{F}_q m[x]/g$ .

Code dimension  $k \geq n-m$  deg g. Almost always k = n-m deg g. McEliece's choice of C(D):  $\Gamma_2(a_1, \ldots, a_n, g)$ with irreducible g of degree w. Can you figure out  $a_1, \ldots, a_n, g$ given  $\Gamma_2(a_1, \ldots, a_n, g)$ ? McEliece's choice of C(D):  $\Gamma_2(a_1, \ldots, a_n, g)$ with irreducible g of degree w.

Can you figure out  $a_1, \ldots, a_n, g$  given  $\Gamma_2(a_1, \ldots, a_n, g)$ ?

McEliece's choice of D: 1975 Patterson algorithm to decode deg g errors given  $a_1, \ldots, a_n, g$ . McEliece's choice of C(D):

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Original parameters: m=10, w=50, n=1024, k=524.

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Original parameters: m=10, w=50, n=1024, k=524.

Much higher security: m = 12, w = 150, n = 3600, k = 1800.

If  $k/n \to R$  as  $n \to \infty$ then  $1 - m(\deg g)/n \to R$ but  $m \ge (\lg n)/\lg q$ so  $w/n = (\deg g)/n \to 0$ .

Standard conjecture is that decoding is still quite hard:  $(\operatorname{constant} + o(1))^{n/\lg n}$  as  $n \to \infty$ .

McEliece reaches  $2^b$  security with  $n \in b^{1+o(1)}$ .

Encryption and decryption cost only  $b^{2+o(1)}$ .

ECC also costs  $b^{2+o(1)}$ , but ECC's o(1) seems bigger and ECC isn't post-quantum.

### 2008 Bernstein-Lange-Peters:

Why stop with deg g errors? Can take w above deg g. Use fast list-decoding algorithms for exactly the same codes.

List can have > 1 plaintext, but standard "CCA2 conversions" easily identify correct plaintext.

Each extra error makes known attacks more difficult.

More security for same key size.

⇒ Smaller key for same security.

#### More codes

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"I can increase w using an asymptotically good code!  $k/n \to R > 0$  and  $w/n \to W > 0$ ."

Maybe, but this isn't easy. Do you also have a good D? Does your D run quickly? Are there many choices of D? No exploitable structure in C(D)? Is D actually better than  $\Gamma_2$  for reasonable values of n?

Tempting to increase q.

 $n/\sqrt{\lg q}$ ,  $k/\sqrt{\lg q}$ , q

have same key size as n, k, 2.

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Problem 1: Structural attacks seem disastrous for large q. e.g. 1992 Shestakov–Sidelnikov broke 1986 Niederreiter proposal using  $\Gamma_q(\ldots)$  with  $q \approx n$ .

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Problem 1: Structural attacks seem disastrous for large q. e.g. 1992 Shestakov–Sidelnikov broke 1986 Niederreiter proposal using  $\Gamma_q(\ldots)$  with  $q \approx n$ .

Problem 2: Patterson's algorithm is specific to q = 2.

Conventional wisdom: correct only  $(\deg g)/2$  errors for  $q \geq 3$ .

2010 Peters: switching from q = 2 to q = 31 gains factor 2 in key size with same security against information-set decoding, despite Problem 2.

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2010 Bernstein-Lange-Peters: "Wild Goppa codes"  $\Gamma_q(\ldots,g^{q-1})$  with squarefree g correct  $q(\deg g)/2$  errors, generalizing smoothly from q=2. Even more with list decoding. Gain already for q=3.

Ongoing work: optimizing  $\Gamma_q(\ldots, fg^{q-1})$ .

Also many ongoing efforts to reduce key size by creating C(D) with *visible* structure. But safety is unclear.

e.g.

2010 Gauthier Umana–Leander and 2010 Faugère–Otmani–Perret–Tillich broke most of the quasi-cyclic and quasi-dyadic proposals by 2009 Berger–Cayrel–Gaborit–Otmani and 2009 Misocki–Barreto.

### List-decoding algorithms

Most often quoted results:

Take any alternant code over  $\mathbf{F}_q$  of designed distance t+1. Assume  $(n/t)q(\lg q^m)\in (\lg n)^{O(1)}$ .

1999 Guruswami-Sudan:

Polynomial-time algorithm

for 
$$w < n - \sqrt{n(n-t-1)}$$
.

(Roughly:  $w < t/2 + t^2/8n$ .)

2000 Koetter-Vardy:

Polynomial-time algorithm

for 
$$w < n' - \sqrt{n'(n'-t-1)}$$

where n' = n(q-1)/q. (Roughly:

$$w < t/2 + t^2/8n + t^2/8n(q-1).$$

What does this mean for  $\Gamma_q$ ?

Easy application:

 $\Gamma_q(\ldots,g)$  is an alternant code with designed distance  $\deg g+1$ . Slightly above  $(\deg g)/2$  errors.

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2010 Bernstein-Lange-Peters: Plug 1999 Guruswami-Sudan into 1975 Sugiyama-Kasahara-Hirasawa-Namekawa identity  $\Gamma_q(\ldots,g^{q-1})=\Gamma_q(\ldots,g^q)$ .

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2011 Bernstein "Simplified highspeed high-distance list decoding for alternant codes":

Write 
$$J'=n'-\sqrt{n'(n'-t-1)}.$$
  $n^{O(1)}$  bit operations if  $w \leq J' + O((\lg n)/\lg\lg n).$ 

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.  $n^{O(1)}$  bit operations if  $w \leq J'+O((\lg n)/\lg\lg n)$ .  $O(n^{4.5})$  bit operations

if  $w < J' + o((\lg n)/\lg\lg n)$ .

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 $n^{O(1)}$  bit operations if  $w \leq J' + O((\lg n)/\lg \lg n)$ .

 $O(n^{4.5})$  bit operations if  $w \leq J' + o((\lg n)/\lg \lg n)$ .

 $n(\lg n)^{O(1)}$  bit operations if  $w \leq J' - n/(\lg n)^{O(1)}$ .

Can of course combine with 1975 Sugiyama–Kasahara–Hirasawa– Namekawa identity. Still not really fast. Big problem for, e.g., n=3600.

New wave of "rational" list-decoding algorithms promise much better speeds: 2007 Wu; 2008 Bernstein "List decoding for binary Goppa codes" (final version: IWCC 2011).

These algorithms are efficient only up to about J, not J'. Can this limitation be removed? I'm exploring one idea for this: "jet list decoding."